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| (54) Title: <b>POLYMERIZATION PROCESSES FOR OLEFINS</b>  |  |           |  |
| (57) Abstract<br><p>Certain olefins such as ethylene, <math>\alpha</math>-olefins and cyclopentene can be polymerized by using catalyst system containing a nickel or palladium <math>\alpha</math>-diimine complex, a metal containing hydrocarbylation compound, and a selected Lewis acid, or catalyst system containing certain nickel[II] or palladium[II] compounds, an <math>\alpha</math>-diimine, a metal containing hydrocarbylation compound, and optimally a selected Lewis acid. The process advantageously produces polyolefins useful for molding resins, films, elastomers and other uses.</p> |  |           |  |

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TITLE

## POLYMERIZATION PROCESSES FOR OLEFINS

This application claims the benefit of U.S.  
5 Provisional Application No. 60/022,295 (Case No.  
CR-9969-P1), filed July 23, 1996, and U.S. Provisional  
Application No. 60/022,796 (Case No. CR-9969-P2), filed  
July 30, 1996.

10 FIELD OF THE INVENTION

Disclosed herein are processes for polymerizing  
selected olefins, by contacting them with certain  
nickel or palladium compounds, other selected  
compounds, and if the nickel or palladium compound is  
15 not already an  $\alpha$ -diimine complex, a free  $\alpha$ -diimine.

TECHNICAL BACKGROUND

Polyolefins are important items of commerce, many  
thousands of tons being produced annually. They are  
useful in many applications depending upon their  
20 particular properties, for instance as molding resins,  
fibers, films useful in packaging and/or electronics,  
elastomers, and many others. There are many known  
polymerization processes for producing polyolefins, but  
given the importance of these polymers, improved  
25 processes are constantly being sought.

L. K. Johnson, et. al., J. Am. Chem. Soc., vol.  
117, p. 6414-6415 (1995), and L. K. Johnson, et al.,  
J. Am. Chem. Soc., vol. 118, p. 267-268 (1996) describe  
the polymerization of olefins using certain  $\alpha$ -diimine  
30 complexes. Neither of these references describes a  
polymerization process using the starting materials  
described herein.

SUMMARY OF THE INVENTION

Described herein is a first process for the  
35 polymerization of olefins, comprising, contacting, at a  
temperature of about  $-100^{\circ}\text{C}$  to about  $+200^{\circ}\text{C}$ , a Ni(II)  
or Pd(II) complex of (I),

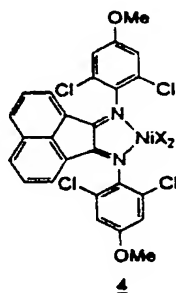
each  $R^7$ ,  $R^8$ ,  $R^9$  and  $R^{10}$  is independently hydrocarbyl or substituted hydrocarbyl containing from 1 to 20 carbon atoms;

5 each  $X$  is independently halogen or  $R^{21}SO_3^-$ ;  
each  $R^{21}$  is independently aryl, substituted aryl or perfluoroalkyl;

$L^1$  and  $L^2$  are ligands capable of being displaced by (I), or taken together are a bidentate  
10 ligand that is capable of being displaced by (I); and

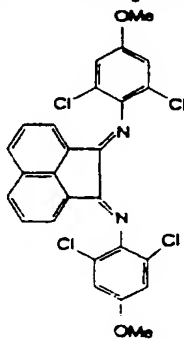
$Y$  is a relatively noncoordinating anion;  
and provided that when said hydrocarbylation compound is other than an alkylaluminum compound containing one or more halogen atoms bound to an  
15 aluminum atom or  $(R^{20}AlO)_q$ , wherein  $R^{20}$  is alkyl and  $q$  is a positive integer, (II) must be present.

Described herein is a novel compound of the formula



20 wherein each  $X$  is independently halogen,  $R^7CO_2$ ,  $R^8COCH=C(O)R^8$ , or  $OR^{18}$ , each  $R^{18}$  is independently hydrocarbyl, and  $R^7$ ,  $R^8$  are hydrocarbyl or substituted hydrocarbyl containing from 1 to 20 carbon atoms.

Also disclosed is a compound of the formula



(VII).

DETAILS OF THE INVENTION

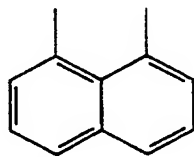
Herein certain terms are used to define certain chemical groups or compounds. These terms are defined below.

5           • A "hydrocarbyl group" is a univalent group containing only carbon and hydrogen. If not otherwise stated, it is preferred that hydrocarbyl groups herein contain 1 to about 30 carbon atoms.

          • By "substituted hydrocarbyl" herein is meant  
10 a hydrocarbyl group which contains one or more substituent groups which are inert under the process conditions to which the compound containing these groups is subjected. The substituent groups also do not substantially interfere with the process. If not  
15 otherwise stated, it is preferred that substituted hydrocarbyl groups herein contain 1 to about 30 carbon atoms. Included in the meaning of "substituted" are heteroaromatic rings.

          • By an alkyl aluminum compound is meant  
20 a compound in which at least one alkyl group is bound to an aluminum atom. Other groups such as alkoxide, oxygen, and halogen may also be bound to aluminum atoms in the compound.

          • By "hydrocarbylene" herein is meant a  
25 divalent group containing only carbon and hydrogen. Typical hydrocarbylene groups are  $-(CH_2)_4-$ ,  $-CH_2CH(CH_2CH_3)CH_2CH_2-$  and



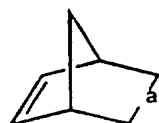
(An)

30 If not otherwise stated, it is preferred that hydrocarbylene groups herein contain 1 to about 30 carbon atoms.

          • By "substituted hydrocarbylene" herein is meant a hydrocarbylene group which contains one or more  
35 substituent groups which are inert under the process

conditions to which the compound containing these groups is subjected. The substituent groups also do not substantially interfere with the process. If not otherwise stated, it is preferred that substituted hydrocarbylene groups herein contain 1 to about 30 carbon atoms. Included within the meaning of "substituted" are heteroaromatic rings.

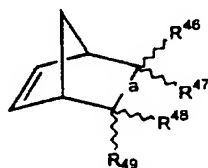
• By "a norbornene" is meant that the monomer is characterized by containing at least one norbornene-functional group in its structure including norbornadiene as identified by the formulas below, which can be substituted or unsubstituted



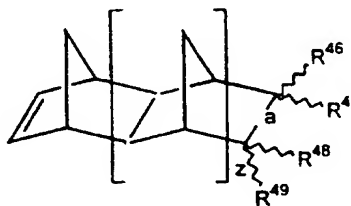
(XXXIV)

wherein "a" represents a single or double bond.

Representative monomers are compounds (XXXV) and (XXXX) as follows:



(XXXV);



(XXXX)

wherein  $R^{46}$ ,  $R^{47}$ ,  $R^{48}$ , and  $R^{49}$  independently are hydrogen halogen, or hydrocarbyl, provided that, except if the hydrocarbyl group is vinyl, if any of the hydrocarbyl are alkenyl, there is no terminal double bond, i.e., the double bond is internal; or  $R^{46}$  and  $R^{48}$  taken together can be part of carbocyclic ring (saturated, unsaturated or aromatic); or  $R^{46}$  and  $R^{47}$  and/or  $R^{48}$  and  $R^{49}$  taken together are an alkylidene group. In these structures "z" is 1 to 5.

Examples of such norbornenes include norbornadiene, 2-norbornene, 5-methyl-2-norbornene, 5-hexyl-2-norbornene, 5-ethylidene-2-norbornene, vinylnorbornene, dicyclopentadiene, dihydrodicyclopentadiene, tetracyclododecene, trimers of cyclopentadiene, halogenated norbornenes wherein

$R^{46}$ ,  $R^{47}$ ,  $R^{48}$  and  $R^{49}$  may also be halogen or fully halogenated alkyl groups such as  $C_wF_{2w+1}$  wherein  $w$  is 1 to 20, such as perfluoromethyl and perfluorodecyl.

5           The halogenated norbornenes can be synthesized via the Diels-Alder reaction of cyclopentadiene an appropriate dieneophile, such as  $F_3CC\equiv CCF_3$  or  $R^{49}_2C=CR^{49}C_wF_{2w+1}$  wherein each  $R^{49}$  is independently hydrogen or fluorine and  $w$  is 1 to 20.

10           • By "saturated hydrocarbyl" is meant a univalent group containing only carbon and hydrogen which contains no unsaturation, such as olefinic, acetylenic, or aromatic groups. Examples of such groups include alkyl and cycloalkyl. If not otherwise  
15           stated, it is preferred that saturated hydrocarbyl groups herein contain 1 to about 30 carbon atoms.

            • By "aryl" is meant a monovalent radical which is one or more carbocyclic aromatic rings, and wherein the free bond is to a carbon atom of an  
20           aromatic ring. By "substituted aryl" is meant an aryl group substituted with one or more substituents which do not interfere with the polymerization reaction. Phenyl is a preferred aryl group for  $R^{13}$ ,  $R^{14}$  and  $R^{15}$ .

            • Herein the group "OTf" means a  
25           perfluoroalkylsulfonate anion containing 1 to 20 carbon atoms. A preferred perfluoroalkylsulfonate anion is trifluoromethanesulfonate (sometimes "triflate").

            • By " $\alpha$ -olefin" is meant a compound of the formula  $CH_2=CHR^{19}$ , wherein  $R^{19}$  is n-alkyl or branched n-  
30           alkyl, preferably n-alkyl.

            • By "linear  $\alpha$ -olefin" is meant a compound of the formula  $CH_2=CHR^{19}$ , wherein  $R^{19}$  is n-alkyl. It is preferred that the linear  $\alpha$ -olefin have 4 to 40 carbon atoms.

35           • By a "saturated carbon atom" is meant a carbon atom which is bonded to other atoms by single bonds only. Not included in saturated carbon atoms are carbon atoms which are part of aromatic rings.

- By a quaternary carbon atom is meant a saturated carbon atom which is not bound to any hydrogen atoms. A preferred quaternary carbon atom is bound to four other carbon atoms.

5           • By an olefinic bond is meant a carbon-carbon double bond, but does not include bonds in aromatic rings.

          • By a "polymerization process" herein (and the polymers made therein) is meant a process which  
10 produces a polymer with a degree of polymerization (DP) of about 20 or more, preferably about 40 or more. By "DP" is meant the average number of repeat (monomer) units in the polymer.

          • By a metal containing hydrocarbylation  
15 compound is meant a compound which can transfer a hydrocarbyl group to a nickel or palladium compound. One form of this compound is commonly called an alkylating agent or compound in organic chemistry. Generally the hydrocarbyl group is thought of being  
20 present in the compound as an anion. Useful alkylating agents have the formula  $MX_mR^n$  or  $[Al(O)R^{11}]_q$ , wherein M is a metal, preferably Li, Mg, Zn[II], Al, or Sn[IV]; each X is independently F, Cl, Br, I, or  $OR^{12}$ ; each  $R^6$  is independently hydrocarbyl containing from 1 to 20  
25 carbon atoms; m is zero or greater and n is 1 or greater, and m+n is the valence of M and if the valence of M is 1, then m is 0;  $R^{11}$  and  $R^{12}$  are each independently hydrocarbyl, preferably alkyl, containing 1 to 20 carbon atoms; and q is a positive integer. It  
30 is preferred that  $R^{11}$  is methyl.

          • By a weakly coordinating anion herein is meant an anion that does not coordinate strongly to a nickel or palladium cationic complex. The coordinating ability of such anions is known and has been discussed  
35 in the literature, see for instance W. Beck., et al., Chem. Rev., vol. 88 p. 1405-1421 (1988), and S. H. Strauss, Chem. Rev., vol. 93, p. 927-942 (1993), both of which are hereby included by reference. In



addition to these "traditional" weakly coordinating anions, heterogeneous anions may also be employed. In these cases, the true nature of the counterion is poorly defined or unknown. A wide variety of heterogeneous inorganic materials can be made to function as non-coordinating counterions. Examples include aluminas, silicas, silica/aluminas, cordierites, clays,  $MgCl_2$ , and many others utilized as traditional supports for Ziegler-Natta olefin polymerization catalysts. These are generally materials which have Lewis or Bronsted acidity. High surface area is usually desired and often these materials will have been activated through some heating process. Heating may remove excess surface water and change the surface acidity from Bronsted to Lewis type. Materials which are not active in the role may often be made active by surface treatment. For instance, a surface-hydrated silica, zinc oxide or carbon can be treated with an alkylaluminum compound to provide the required functionality.

Preferred relatively noncoordinating anions are  $BAF$ ,  $BF_4$ ,  $SbF_6$ ,  $B(C_6F_5)_4$  and  $PF_6$ . Herein  $BAF$  is an abbreviation for the tetrakis[3,5-bis(trifluoromethyl)phenyl]borate.

It is preferred that each  $R^{18}$  and  $R^{19}$  is independently hydrocarbyl, each  $R^7$ ,  $R^8$ ,  $R^9$  and  $R^{10}$  is independently hydrocarbyl, and/or each  $X$  is independently halogen, more preferably chlorine or bromine. When present it is preferred that  $R^{21}$  is trifluoromethanesulfonate, phenyl or tolyl.

In both of the polymerization processes described herein compound (I) or a complex of it is present initially. In (I)  $R^2$  and  $R^5$  are each independently aryl or substituted aryl, provided in both  $R^2$  and  $R^5$  at least one of the carbon atoms bound to a carbon atom bound directly to an imino nitrogen atom does not have any hydrogen atoms bound to it. In practice this means that in  $R^2$  and  $R^5$  the carbon atom ortho or adjacent to

the carbon atom which is bound to an imino nitrogen atom must be substituted. Suitable groups for  $R^2$  and  $R^5$  include 2-methylphenyl, 2-phenylphenyl, 2,6-diisopropylphenyl, 1-naphthyl, 1-methyl-2-naphthyl, 1-anthracenyl, and 9-anthracenyl. Aryl groups that are not suitable include phenyl, 2-naphthyl, and 3-ethylphenyl.

Preferred combinations of groups for  $R^2$ ,  $R^3$ ,  $R^4$ , and  $R^5$  are given in the following Table.

| $R^2$          | $R^3$ | $R^4$ | $R^5$          |
|----------------|-------|-------|----------------|
| 2,6-i-PrPh     | H     | H     | 2,6-i-PrPh     |
| 2,6-i-PrPh     | Me    | Me    | 2,6-i-PrPh     |
| 2,6-i-PrPh     | An    | An    | 2,6-i-PrPh     |
| 2,6-MePh       | H     | H     | 2,6-MePh       |
| 2,6-i-PrPh     | Me    | Me    | 2,6-i-PrPh     |
| 2,6-i-PrPh     | H     | H     | 2,6-i-PrPh     |
| 2,6-MePh       | H     | H     | 2,6-MePh       |
| 2,6-i-PrPh     | H     | H     | 2,6-i-PrPh     |
| 2,6-i-PrPh     | Me    | Me    | 2,6-i-PrPh     |
| 2,6-MePh       | H     | H     | 2,6-MePh       |
| 2,6-EtPh       | Me    | Me    | 2,6-EtPh       |
| 2,4,6-MePh     | Me    | Me    | 2,4,6-MePh     |
| 2,6-MePh       | Me    | Me    | 2,6-MePh       |
| 2,6-i-PrPh     | An    | An    | 2,6-i-PrPh     |
| 2,6-MePh       | An    | An    | 2,6-MePh       |
| 2-t-BuPh       | An    | An    | 2-t-BuPh       |
| 2,5-t-BuPh     | An    | An    | 2,5-t-BuPh     |
| 2,4,6-MePh     | An    | An    | 2,4,6-MePh     |
| 2-Cl-6-MePh    | Me    | Me    | 2-Cl-6-MePh    |
| 2,6-Cl-4-OMePh | Me    | Me    | 2,6-Cl-4-OMePh |
| 2,6-Cl-4-OMePh | An    | An    | 2,6-Cl-4-OMePh |
| 2-i-Pr-6-MePh  | An    | An    | 2-i-Pr-6-MePh  |
| 2-i-Pr-6-MePh  | Me    | Me    | 2-i-Pr-6-MePh  |
| 2,6-t-BuPh     | H     | H     | 2,6-t-BuPh     |
| 2,6-t-BuPh     | Me    | Me    | 2,6-t-BuPh     |
| 2,6-t-BuPh     | An    | An    | 2,6-t-BuPh     |
| 2-t-BuPh       | Me    | Me    | 2-t-BuPh       |

Herein Me is methyl, Et is ethyl, Pr is propyl, Bu is butyl, Ph is phenyl, OMe is methoxy, and An is 1,8-naphthylidene.

Any of the groups shown in the above table may also be mixed in any fashion to achieve other combinations.

- 5 In certain situations "unsymmetrical"  $\alpha$ -diimine ligands of formula (I) are also preferred. In particular when  $R^2$  and  $R^5$  are phenyl, and one or both of these is substituted in such a way as different sized groups are present in the 2 and 6 position of the

phenyl ring(s) unusual polymers may be produced, for instance if one or both of  $R^2$  and  $R^5$  are 2-t-butylphenyl. In this context when  $R^2$  and/or  $R^5$  are "substituted" phenyl the substitution may be not only  
5 in the 2 and/or 6 positions, but on any other position in the phenyl ring. For instance, 2,5-di-t-butylphenyl, and 2-t-butyl-4,6-dichlorophenyl would be included in substituted phenyl.

The steric effect of various groupings has been  
10 quantified by a parameter called  $E_s$ , see R. W. Taft, Jr., J. Am. Chem. Soc., vol. 74, p. 3120-3128, and M. S. Newman, Steric Effects in Organic Chemistry, John Wiley & Sons, New York, 1956, p. 598-603. For the purposes herein, the  $E_s$  values are those for o-  
15 substituted benzoates described in these publications. If the value for  $E_s$  for any particular group is not known, it can be determined by methods described in these publications. For the purposes herein, the value of hydrogen is defined to be the same as for methyl.  
20 It is preferred that difference in  $E_s$ , when  $R^2$  (and preferably also  $R^5$ ) is phenyl, between the groups substituted in the 2 and 6 positions of the phenyl ring is at least 0.15, more preferably at least about 0.20, and especially preferably about 0.6 or more. These  
25 phenyl groups may be unsubstituted or substituted in any other manner in the 3, 4 or 5 positions. These differences in  $E_s$  are preferred in (I), and in both of the polymerization processes herein.

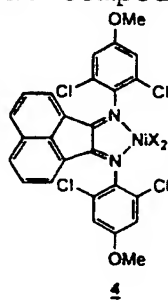
It will be understood by the artisan that not  
30 every possible compound (I) or its Ni or Pd complex will be active in polymerizations of every olefin listed herein, but that the vast majority will be active in such polymerizations. Special steric or electronic features in (I) combined with the structure  
35 of the olefin may prevent polymerization in a few cases. However the determination of whether a particular  $\alpha$ -diimine or its Ni or Pd complex will be active in polymerization with a certain olefin requires

minimal experimentation to determine, see for instance the polymerization Examples herein.

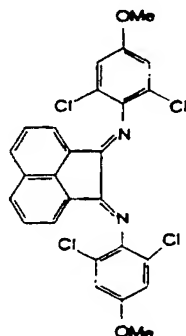
Preferred olefins in both polymerizations are one or more of ethylene, propylene, 1-butene, 2-butene, 1-hexene 1-octene, 1-pentene, 1-tetradecene, norbornene, and cyclopentene, with ethylene, propylene and cyclopentene being more preferred. Ethylene and cyclopentene (alone as homopolymers) are especially preferred. Another preferred type of olefin is an  $\alpha$ -olefin, and a linear  $\alpha$ -olefin is especially preferred. When norbornene is used as a monomer it is preferred that it be the only olefin present.

Random copolymers may be made with these polymerization processes by the simultaneous polymerization of 2 or more olefins. Block copolymers may be made (particularly at subambient temperatures, preferably about  $-30^{\circ}\text{C}$  to  $0^{\circ}\text{C}$ ) by sequential polymerization of the monomer(s) of each block in the polymer.

It has been found that compound 4,



when used for polymerization of cyclopentene, gives a tractable cyclopentene with the highest melting point yet achieved. X is preferably chlorine or bromine. This compound may be used in the first polymerization process. It is made from compound (VII), or (VII) may be used directly in the second polymerization process.



(VII).

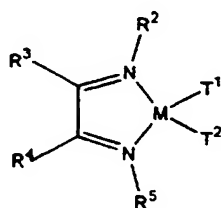
In both 4 and (VII) the methoxy group may be replaced  
 5 by an alkoxy group wherein the alkoxy group contains 2  
 to 20 carbon atoms.

The temperature at which the polymerization  
 processes are carried out is about  $-100^{\circ}\text{C}$  to about  
 $+200^{\circ}\text{C}$ , preferably about  $0^{\circ}\text{C}$  to about  $150^{\circ}\text{C}$ , more  
 10 preferably about  $25^{\circ}\text{C}$  to about  $100^{\circ}\text{C}$ . The pressure at  
 which the polymerization is carried out (for a gaseous  
 monomer) is not critical, atmospheric pressure to about  
 275 MPa being a suitable range. The pressure can  
 affect the microstructure of the polyolefin produced.

15 A preferred compound (II) is  $(\text{C}_6\text{F}_5)_3\text{B}$ . When (II)  
 is  $(\text{R}^{13}\text{R}^{14}\text{R}^{15}\text{C})\text{Y}$  it is preferred that all of  $\text{R}^{13}$ ,  $\text{R}^{14}$  and  
 $\text{R}^{15}$  are phenyl. It will be understood by the artisan  
 that (II) is preferably a Lewis acid-type compound.

In the second polymerization process, when a  
 20 hydrocarbylation compound is other than an  
 alkylaluminum compound containing one or more halogen  
 atoms bound to an aluminum atom or an alkyl  
 aluminoxane, (II) must be present. Thus when the  
 hydrocarbylation compound is  $\text{R}_3\text{Al}$  (II) must be present.  
 25 When the hydrocarbylation compound is  $\text{R}_2\text{AlBr}$ ,  $\text{RAlCl}_2$ ,  
 or "RAlO", (II) may optionally be present (R in these  
 last two sentences is alkyl).

In the first process, when a  $\text{Ni}[\text{II}]$  or  $\text{Pd}[\text{II}]$   
 complex of (I) is used, a preferred structure for the  
 30 complex is



(VI)

wherein M is Ni or Pd, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are as defined  
 5 as above and T<sup>1</sup> and T<sup>2</sup> are each independently halogen,  
 R<sup>7</sup>CO<sub>2</sub>, R<sup>8</sup>COCH=C(O)R<sup>8</sup>, OR<sup>18</sup> wherein R<sup>7</sup>, R<sup>8</sup> and R<sup>18</sup> are as  
 defined above. It is preferred that T<sup>1</sup> and T<sup>2</sup> are  
 chlorine and/or bromine.

In both polymerization processes, molar ratios of  
 10 the various ingredients are not critical, but for good  
 yields of polymer and/or to minimize cost of  
 ingredients, certain ratios are preferred. In the  
 first polymerization process it is preferred that the  
 ratio of number of moles of olefin:"moles" of Pd or Ni  
 15 present be greater than 3,000, preferably about 5,000  
 or more. The molar ratio of (II):Pd or Ni is  
 preferably about 0.5 to about 10, more preferably about  
 1 to about 5. The molar ratio of hydrocarbylation  
 compound: Pd or Ni is preferably about 0.5 to about 200,  
 20 more preferably about 20 to about 100, in the second  
 polymerization process when (II) is not present. In  
 the first polymerization process, or in the second  
 polymerization process when (II) is present, the molar  
 ratio of hydrocarbylation compound: Pd or Ni is  
 25 preferably about 0.5 to about 20, more preferably about  
 1 to about 10.

When the ingredients for the polymerization are  
 first mixed to initiate the polymerization it is  
 preferred that they be mixed in a liquid medium,  
 30 preferably a liquid medium in which at least one of the  
 ingredients other than the olefin is at least slightly  
 soluble. For instance the polymerization of  
 cyclopentene may be carried out in neat cyclopentene or  
 in a mixture of 1,2,4-trichlorobenzene and

cyclopentene. The polymerization itself may then be carried out in solution (the polymer soluble in the



medium), slurry, or even the gas phase with droplets containing the active catalyst suspended in, for example, gaseous olefin.

The polymerization may be carried out in any of the usual ways, such as a batch, semi-batch or continuous operation. The latter for example may be a continuous stirred tank reactor, which is well known in the art.

These polymerization processes have advantages over those processes reported in L. K. Johnson, et al., J. Am. Chem. Soc., vol. 117, p. 6414-6415 (1995), and L. K. Johnson, et al., J. Am. Chem. Soc., vol. 118, p. 267-268 (1996). For the first polymerization process, the presence of (II) reduces the amount of alkylaluminum compound that must be added to the process to achieve good polymer yields, and/or the Ni or Pd compound which is used is relatively stable and therefore may be stored for long periods and/or used under ambient conditions (e.g. no refrigeration needed). In addition to the advantages described for the first polymerization process, the second polymerization does not require the prior preparation of a complex of Ni or Pd with (I), but rather the Ni or Pd compounds used are readily available and relatively stable. Not having to prepare the Ni or Pd complex of (I) in a separate step is also economically advantageous.

In the Examples, the following abbreviations are used:

|    |   |
|----|---|
| 30 | DSC - differential scanning calorimetry |
|    | Et - ethyl                              |
|    | Me - methyl                             |
|    | MMAO - modified methyl aluminoxane      |
|    | OAc - acetate                           |
| 35 | Pr - propyl                             |
|    | Tg - glass transition temperature       |
|    | Tm - melting point                      |

### Examples

Cyclopentene was purified by passage through a column of 5 Å molecular sieves, followed by passage through a column of alumina, and finally distillation under nitrogen from sodium metal.

Modified Methyl Aluminoxane [(MeAlO)<sub>n</sub>] used in these experiments was purchased from Akzo as a 6.7 wt.% solution in toluene. The methyl aluminoxane has been modified by replacing about 25 mole percent of the methyl groups with isobutyl groups.

#### Examples 1-18 and Comparative Examples A-L

The following general procedure was used with compounds 1-6 below. In a nitrogen filled dry box, the catalyst was suspended in cyclopentene (molar ratio = 10,000:1 unless otherwise noted). (II) and hydrocarbylation compound (HCC) were then added in rapid succession; molar ratios based on nickel or palladium are noted in Table 1. Unless otherwise noted, (II) was added to the reaction mixture before the HCC. The resulting mixture was stirred at ambient temperature under nitrogen; in some instances, due to the amount of precipitated polymer it was impossible to stir the reaction for more than a few days. After the number of days indicated in Table 1, the reaction was quenched and the polymer completely precipitated by addition of methanol. The polymer was then washed with methanol/HCl and then acetone on a fritted filter, and dried. The total turnover number for each reaction (moles cyclopentene polymerized per mole of Ni or Pd) is indicated in Table 1.

It can be seen from Table 1 that addition of (II) such as B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> and AlCl<sub>3</sub> allows for the successful use of alkylating agents such as AlMe<sub>3</sub>, AlEt<sub>3</sub>, Al(OEt)Et<sub>2</sub>, and ZnEt<sub>2</sub>; in the absence of (II), no product is observed.

DSC data for several examples are compiled in Table 2. In general, the melting points are broad and

the  $T_m$  values given represent the approximate end of the melting transition.

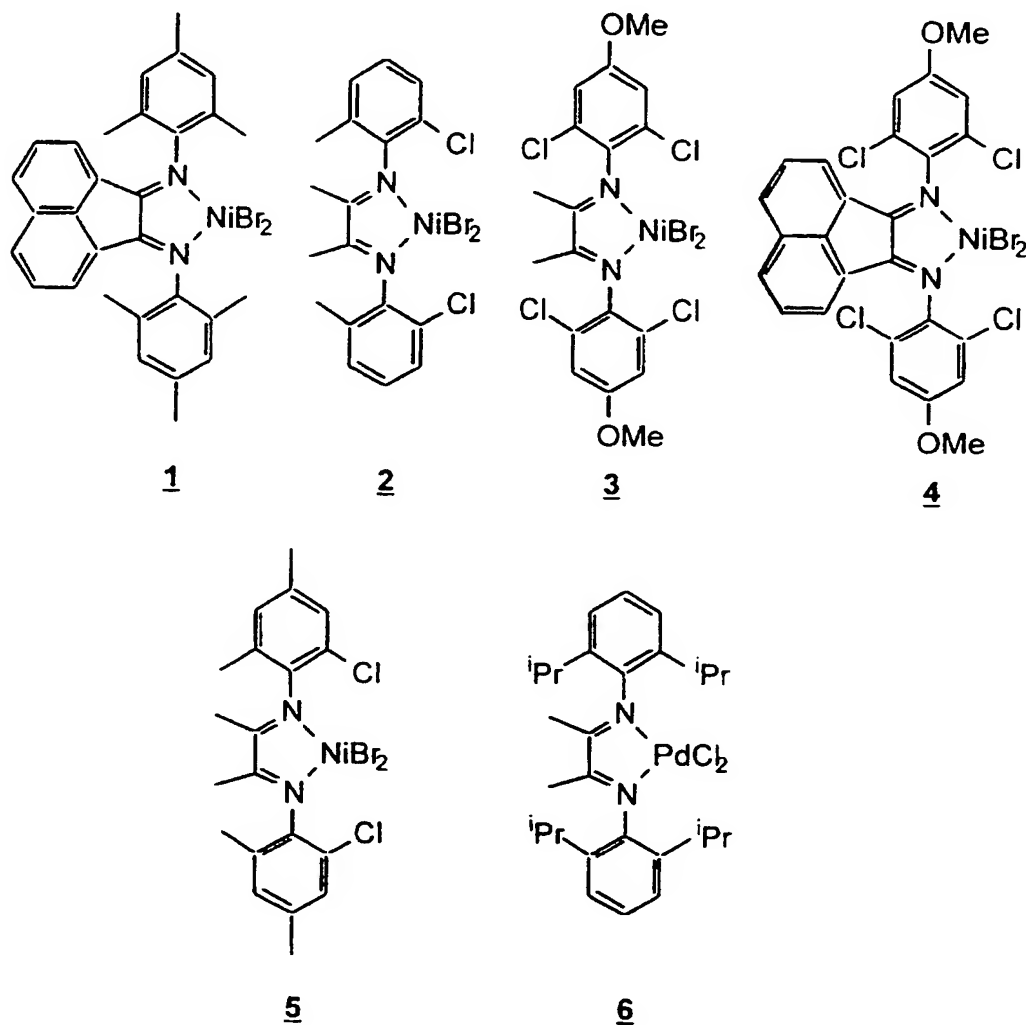


Table 1

| <u>Example</u>  | <u>Ni or Pd</u><br><u>Compound</u><br><u>d</u> | <u>HCC</u><br><u>(equiv)</u> | <u>(II)</u><br><u>(equiv)</u>                      | <u>turn-</u><br><u>overs</u> <sup>a</sup><br><u>(days)</u> | <u>Melt</u><br><u>Index</u> <sup>b</sup> |
|-----------------|--|------------------------------|--|--|--|
| 1               | 1  | EtAlCl <sub>2</sub> (3)      | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 1833 (7)   | 7.6                                      |
| 2 <sup>e</sup>  | 1  | EtAlCl <sub>2</sub> (3)      | (Ph <sub>3</sub> C)BF <sub>4</sub> (3)             | 1827 (7)   | 78                                       |
| A               | 1  | EtAlCl <sub>2</sub> (50)     | -----  | 1760 (7)   |  |
| B               | 1  | EtAlCl <sub>2</sub> (3)      | -----  | 846 (7)  | 8.4                                      |
| 3               | 1  | AlEt <sub>3</sub> (3)        | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 3680 (7)   | 14                                       |
| C               | 1  | AlEt <sub>3</sub> (3)        | -----  | 0 (5)  |  |
| 4               | 1  | AlEt <sub>3</sub> (3)        | AlCl <sub>3</sub> (50)                             | 6031 (7)   | high <sup>c</sup>                        |
| 5               | 1  | AlEt <sub>3</sub> (3)        | AlCl <sub>3</sub> (3)                              | 1398 (7)   | 6.2                                      |
| 6               | 1  | AlEt <sub>3</sub> (3)        | Al(OTf) <sub>3</sub> (50)                          | 950 (7)  | 54                                       |
| 7               | 1  | AlEt <sub>3</sub> (3)        | AlBr <sub>3</sub> (50)                             | 7624 (7)   | high <sup>c</sup>                        |
| 8               | 1  | AlMe <sub>3</sub> (3)        | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 4325 (7)   | 14                                       |
| D               | 1  | AlMe <sub>3</sub> (50)       | -----  | 0 (7)  |  |
| 9 <sup>e</sup>  | 1  | Al(OEt)Et <sub>2</sub> (5)   | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (5) | 4232 (7)   | 9.6                                      |
| E               | 1  | Al(OEt)Et <sub>2</sub> (5)   | -----  | 0 (6)  |  |
| 10              | 1  | ZnEt <sub>2</sub> (3)        | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 2880 (7)   | 19                                       |
| F               | 1  | ZnEt <sub>2</sub> (3)        | -----  | 0 (8)  |  |
| 11              | 2  | AlEt <sub>3</sub> (3)        | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 5660 (7)   | 2.1                                      |
| GB              | 2  | (MeAlO) <sub>n</sub> (100)   | -----  | 1195 (5)   | 7.6                                      |
| 12              | 2  | EtAlCl <sub>2</sub> (3)      | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 3250 (7)   | 1.7                                      |
| H <sup>h</sup>  | 2  | EtAlCl <sub>2</sub> (50)     | -----  | 5230 (8)   | 3.6                                      |
| 13              | 2  | AlEt <sub>3</sub> (3)        | AlCl <sub>3</sub> (50)                             | 4718 (7)   | high <sup>c</sup>                        |
| 14 <sup>e</sup> | 2  | Al(OEt)Et <sub>2</sub> (5)   | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (5) | 4688 (7)   | 2.4                                      |
| 15              | 3  | AlEt <sub>3</sub> (3)        | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 2514 (7)   | 1.0                                      |
| I               | 3  | (MeAlO) <sub>n</sub> (100)   | -----  | 2020 (7)   |  |
| J               | 3  | EtAlCl <sub>2</sub> (50)     | -----  | 5000 (2)   |  |
| 16              | 4  | AlEt <sub>3</sub> (3)        | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 3770 (7)   |  |
| K               | 4  | EtAlCl <sub>2</sub> (50)     | -----  | 7210 (7)   |  |
| L               | 4  | (MeAlO) <sub>n</sub> (100)   | -----  | 845 (7)  |  |
| 17              | 5  | ZnEt <sub>2</sub> (3)        | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 3462 (7)   | 0.9 <sup>f</sup>                         |
| 18              | 6  | AlEt <sub>3</sub> (3)        | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (3) | 1243 (7)   | low <sup>d</sup>                         |

<sup>a</sup>"turnovers" = molar equivalents of monomer polymerized per molar equivalent of Ni or Pd

<sup>b</sup>Melt indices were run with 8.5 kg weights at 300°C. MI value in Table 1 is defined as the mass of polymer (in grams) extruded in 10 minutes. The orifice was 2.095 mm in dia. and 8.000 mm long. MI value is inversely related to polymer molecular weight.

<sup>c</sup>Melt index value too high to be recorded accurately; indicates relatively low molecular weight polymer.

<sup>d</sup>Melt index value too low to be conveniently recorded; indicates relatively high molecular weight.

<sup>e</sup>In this example, the alkylating agent was added to the reaction mixture before the Lewis acid.

<sup>f</sup>MI recorded at 275 °C

<sup>g</sup>Cyclopentene : Ni = 5,000:1

<sup>h</sup>Cyclopentene : Ni = 15,000:1

Table 2

| Example | -T <sub>m</sub> (end) °C | ΔH <sub>f</sub> (J/g) |
|---------|--------------------------|-----------------------|
| 1       | 285                      | 17                    |
| 2       | 260                      | 6                     |
| B       | 285                      | 8                     |
| 3       | 277                      | 12                    |
| 6       | 280                      | 15                    |
| 9       | 280                      | 22                    |
| 10      | 280                      | 24                    |
| 11      | 265                      | 16                    |
| 12      | 255                      | 12                    |
| H       | 260                      | 17                    |
| 14      | 263                      | 15                    |
| 15      | 290                      | 18                    |
| I       | 291                      | 18                    |
| J       | 291                      | 31                    |
| 16      | 325                      | 32                    |
| K       | 335                      | 9                     |
| 17      | 275                      | 22                    |

Example 19

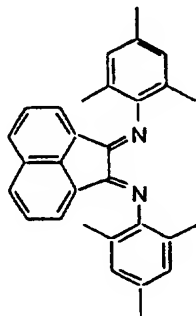
This example demonstrates the use of  $\text{Ni}(\text{acac})_2$  (acac =  $\text{MeCOCH}=\text{C}(\text{O})\text{Me}$ ) as a precursor for cyclopentene polymerization. In a nitrogen filled glove box,

5  $\text{Ni}(\text{acac})_2$  (4 mg, 0.015 mmol) was dissolved in cyclopentene (10.0 g, 147 mmol) to give a pale, green-blue solution. To this was added **7** (6 mg, 0.015 mmol). To the resulting orange solution was added  $\text{B}(\text{C}_6\text{F}_5)_3$  (23 mg, 0.045 mmol) to give a violet solution. Finally,

10 1.9 M  $\text{AlEt}_3$  in toluene (24  $\mu\text{l}$ , 0.045 mmol) was added to the reaction mixture. The resulting magenta solution was stirred at ambient temperature for ~3 days with a magnetic stirbar; at the end of this time the reaction could no longer be stirred due to the amount of

15 polycyclopentene that had precipitated. Seven days after the addition of  $\text{AlEt}_3$ , the reaction mixture was quenched and the polymer completely precipitated by addition of methanol under air. The precipitated polymer was washed with methanol/HCl and then acetone,

20 and then dried to afford 4.00 g of polycyclopentene. DSC:  $T_m(\text{end}) \approx 290^\circ\text{C}$  (19 J/g). This material was pressed at  $300^\circ\text{C}$  to give a clear, tough film.

**7**

25

Comparative Example M

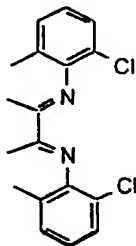
This experiment was identical to Example 19, except that  $\text{B}(\text{C}_6\text{F}_5)_3$  was not present. Methanol was added to the reaction mixture after it had stirred at ambient temperature for 7 days; no polycyclopentene

30 precipitated. This demonstrates that (II)  $[\text{B}(\text{C}_6\text{F}_5)_3]$  in

19] must be present for activity when  $\text{AlEt}_3$  is used as the alkylating agent.

#### Example 20

This example further demonstrates the use of  
5  $\text{Ni}(\text{acac})_2$  as a catalyst precursor. In a nitrogen filled glove box,  $\text{Ni}(\text{acac})_2$  (4 mg, 0.015 mmol) and **8** (5 mg, 0.015 mmol) were dissolved in cyclopentene (10.0 g, 147 mmol) to give a pale-yellow solution. To this was added  $\text{B}(\text{C}_6\text{F}_5)_3$  (23 mg, 0.045 mmol) followed by 1.9 M  
10  $\text{AlEt}_3$  in toluene (24  $\mu\text{l}$ , 0.045 mmol). The resulting orange solution was stirred at ambient temperature for 7 days with a magnetic stirbar. The reaction mixture was then quenched and the polymer completely precipitated by addition of methanol under air. The  
15 precipitated polymer was washed with methanol/HCl and then acetone, and then dried to afford 3.19 g of polycyclopentene. DSC:  $T_m(\text{end}) \approx 270^\circ\text{C}$  (20 J/g).



**8**

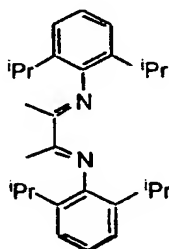
#### Example 21

This example demonstrates the use of  
 $\text{Ni}[\text{O}_2\text{C}(\text{CH}_2)_6\text{CH}_3]_2$  as a catalyst precursor. In a nitrogen filled glove box,  $\text{Ni}[\text{O}_2\text{C}(\text{CH}_2)_6\text{CH}_3]_2$  (5 mg, 0.015 mmol) and **7** (6 mg, 0.015 mmol) were dissolved in  
25 cyclopentene (10.0 g, 147 mmol) to give an orange solution. To this was added  $\text{B}(\text{C}_6\text{F}_5)_3$  (23 mg, 0.045 mmol) followed by 1.9 M  $\text{AlEt}_3$  in toluene (24  $\mu\text{l}$ , 0.045 mmol). The resulting magenta solution was stirred at ambient temperature for 7 days with a magnetic stirbar.  
30 The reaction mixture was then quenched and the polymer completely precipitated by addition of methanol under air. The precipitated polymer was washed with

methanol/HCl and then acetone, and then dried afford 1.6 g of polycyclopentene.

#### Example 22

This example demonstrates the use of  $\text{Pd}(\text{OAc})_2$  (OAc is acetate) as a catalyst precursor. In a nitrogen filled glove box,  $\text{Pd}(\text{OAc})_2$  (3 mg, 0.013 mmol) and **9** (297 mg, 0.735 mmol) were dissolved in cyclopentene (10.0 g, 147 mmol). To this was added  $\text{B}(\text{C}_6\text{F}_5)_3$  (23 mg, 0.045 mmol) followed by 1.9 M  $\text{AlEt}_3$  in toluene (24  $\mu\text{l}$ , 0.045 mmol). The resulting cloudy, yellow solution was stirred with a magnetic stirbar at ambient temperature. Twenty-six hours after the addition of  $\text{AlEt}_3$ , the reaction mixture was quenched and the polymer completely precipitated by addition of methanol under air. The precipitated polymer was washed with methanol/HCl and then acetone, and then dried to afford 3.48 g of polycyclopentene. DSC:  $T_m(\text{end}) = 250^\circ\text{C}$  (24 J/g);  $T_g = 100.4^\circ\text{C}$ . This material was pressed at  $300^\circ\text{C}$  to give a tough film.



**9**

#### Example 23

This example demonstrates the synthesis of  $\text{ArN}=\text{C}(\text{CH}_3)\text{C}(\text{CH}_3)=\text{NAr}$  (Ar = 2,6-dichloro-4-methoxyphenyl). 2,6-dichloro-4-methoxyaniline (0.767 g, 4 mmol) and biacetyl (0.172 g, 2 mmol) were combined in 10 mL of anhydrous methanol containing 2 drops of concentrated sulfuric acid. After 48 hours, the precipitated product was isolated by filtration, washed with a 10:1 hexane/methanol mixture and dried in vacuo to give 0.476 g solid (55% yield).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ , 300



MHz): 2.1 (s, -CH<sub>3</sub>, 6 H); 3.8 (s, -OCH<sub>3</sub>, 6H); 7.0 (s, aromatic H, 4H).

#### Example 24

This example demonstrates the preparation of  
5 (ArN=C(CH<sub>3</sub>)C(CH<sub>3</sub>)=NAr)NiBr<sub>2</sub> (Ar = 2,6-dichloro-4-methoxyphenyl), 3. ArN=C(CH<sub>3</sub>)C(CH<sub>3</sub>)=NAr (Ar = 2,4,6-trimethylphenyl) (0.132g, 0.304 mmol) was combined with NiBr<sub>2</sub>(1,2-dimethoxyethane) (0.094g, 0.304 mmol) in 4.6 mL of anhydrous methylene chloride under nitrogen in a  
10 dry box. After stirring for 168 hours, the solvent was decanted from the dark purple crystalline product. The product was washed 3X with pentane and dried in vacuo.

#### Example 25

This example demonstrates the preparation of  
15 ArN=C(An)C(An)=NAr (Ar = 2,6-dichloro-4-methoxyphenyl). 2,6-dichloro-4-methoxyaniline (2.18 g, 11.35 mmol) and acenapthenequinone (1.03 g, 5.63 mmol) were combined in 20 mL of anhydrous methanol containing 3 drops of concentrated sulfuric acid. After 48 hours, the  
20 precipitated product was isolated by filtration, washed with hexane followed by methanol, and dried in vacuo to give 2.47 g solid. <sup>1</sup>H NMR showed this product to be a 3:1 mixture of the desired diimine and the monoimine. A portion of this solid (1.89 g) was combined with 0.42  
25 g (2.19 mmol) additional 2,6-dichloro-4-methoxyaniline in a mixture of methanol (20 mL) and chloroform (5 mL) containing 2 drops of formic acid. The mixture was refluxed for 6 hours and then cooled to 25°C overnight. The precipitated product was isolated by filtration,  
30 washed with hexane followed by methanol, and dried in vacuo to give 1.70 g solid. TLC showed this to be a mixture of two compounds. A portion of the solid (1.50 g) was purified by column chromatography on silica gel 60. The crude material was loaded on the column as a  
35 chloroform solution, and then eluted with toluene. The first band (0.96 g after solvent evaporation) was the desired diimine. <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>, 300 MHz): 3.77, 3.80, 3.88 (singlets, -OMe, total area = 6.1 H);

6.8 - 8.3 (aromatic H, total area = 9.9H). The three methoxy peaks are consistent with isomers due to slow rotation about the N=C bond on the NMR time scale.

#### Example 26

5 This example demonstrates the preparation of  $(ArN=C(An)C(An)=NAr)NiBr_2$  (Ar = 2,6-dichloro-4-methoxyphenyl), **4**.  $ArN=C(An)C(An)=NAr$  (Ar = 2,6-dichloro-4-methoxyphenyl) (0.23 g) was combined with  $NiBr_2(1,2\text{-dimethoxyethane})$  (0.136 g) in 7.5 mL of  
10 anhydrous methylene chloride. The reaction was shaken for 24 hours. About 2/3 of the solvent was removed by evaporation. The precipitated product was isolated by filtration on a fritted glass filter, washed with pentane on the filter, and dried in vacuo.

#### Example 27

15 This example demonstrates the synthesis of  $ArN=C(CH_3)C(CH_3)=NAr$  (Ar = 2-chloro-6-methylphenyl), which was used to synthesize catalyst **2**. 2-Chloro-6-methylaniline (5.66 g, 40.0 mmol) biacetyl (1.72 g,  
20 20.0 mmol) were dissolved in methanol (10 mL). To this was added 2-3 drops of formic acid. The mixture was brought to reflux for 8-9 h. Solvent was then removed to afford an oil. The oil was purified by chromatography on a silica gel column. The first band,  
25 after solvent removal, crystallized to give a light yellow solid. The solid was washed with small amount of methanol and vacuum dried to afford 0.5 g of product (7.5%)  $^1H$  NMR ( $CDCl_3$ ): 7.3 (d, 2H); 7.15 (d, 2H); 6.98(t, 2H); 2.10 (m, 12H).

30 **2** was prepared from  $(dimethoxyethane)NiBr_2$  and the above ligand, in a manner analogous to that described in examples 24 and 26.

#### Example 28

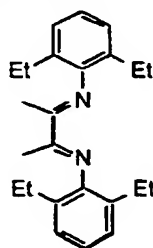
This Example demonstrates the synthesis of  
35  $ArN=C(CH_3)C(CH_3)=NAr$  (Ar = 2-chloro-4,6-dimethylphenyl), which was used to synthesize **5**. 2-Chloro-4,6-dimethylaniline (12.45 g, 80.0 mmol) and biacetyl (3.44 g, 40.0 mmol) were dissolved in methanol

(10 mL). To this was added 2-3 drops of formic acid. The mixture was brought to reflux for overnight. Solvent was then removed to afford an oil. The oil was purified by chromatography on a silica gel column. The first band, after solvent removal, crystallized to give a yellow solid which was identified as the desired product (0.71 g, %).  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ): 7.10 (s, 2H); 6.95 (s, 2H); 2.30 (s, 6H); 2.10 (s, 6H); 2.07 (s, 6H).

5 was prepared from (dimethoxyethane) $\text{NiBr}_2$  and the above ligand, in a manner analogous to that described in Examples 24 and 26.

#### Example 29

This example further demonstrates the use of  $\text{Pd}(\text{OAc})_2$  as a catalyst precursor. In a nitrogen filled glove box,  $\text{Pd}(\text{OAc})_2$  (3 mg, 0.013 mmol) and 10 (256 mg, 0.735 mmol) were dissolved in cyclopentene (10.0 g, 147.0 mmol). To this was added  $\text{B}(\text{C}_6\text{F}_5)_3$  (23 mg, 0.045 mmol) followed by 1.9 M  $\text{AlEt}_3$  in toluene (24  $\mu\text{l}$ , 0.045 mmol). The reaction mixture was stirred by a magnetic stirbar at ambient temperature for approximately 5 h, after which time it could no longer be stirred due to the amount of precipitated polymer. Seven days after the addition of  $\text{AlEt}_3$ , the reaction mixture was quenched and the polymer completely precipitated by addition of methanol under air. The precipitated polymer was washed with methanol/ $\text{HCl}$  and then acetone, and then dried to afford 1.99 g of polycyclopentene. Melt Index on this sample under the same conditions as described in Table 1 was 0.37.



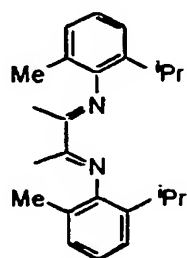
10

Example 30

This example demonstrates the use of  $\text{Pd}(\text{OAc})_2$  as a catalyst precursor, in the presence of MMAO as the hydrocarbylation compound. In a nitrogen filled glove box,  $\text{Pd}(\text{OAc})_2$  (3 mg, 0.013 mmol) and 10 (256 mg, 0.735 mmol) were dissolved in cyclopentene (10.0 g, 147.0 mmol). To this was added 6.7 wt. % MMAO in toluene (0.700 mL, 1.47 mmol). The reaction mixture was stirred at ambient temperature for seven days. The reaction mixture was then quenched and the polymer completely precipitated by addition of methanol under air. The precipitated polymer was washed with methanol/HCl and then acetone, and then dried to afford 0.47 g of polycyclopentene. Melt Index on this sample under the same conditions as described in Table 1 was 16.

Example 31

This example further demonstrates the use of  $\text{Pd}(\text{OAc})_2$  as a catalyst precursor. In a nitrogen filled glove box,  $\text{Pd}(\text{OAc})_2$  (3 mg, 0.013 mmol) and 11 (116 mg, 0.334 mmol) were dissolved in cyclopentene (10.0 g, 147.0 mmol). To this was added  $\text{B}(\text{C}_6\text{F}_5)_3$  (23 mg, 0.045 mmol) followed by followed by 1.9 M  $\text{AlEt}_3$  in toluene (24  $\mu\text{l}$ , 0.045 mmol). The reaction mixture was stirred by a magnetic stirbar at ambient temperature; after several hours it could no longer be stirred due to the amount of precipitated polymer. Three days after the addition of  $\text{AlEt}_3$ , the reaction mixture was quenched and the polymer completely precipitated by addition of methanol under air. The precipitated polymer was washed with methanol/HCl and then acetone, and then dried to afford 3.36 g of polycyclopentene.



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Example 32

This example demonstrates the use of Pd(acac)<sub>2</sub> [acac = MeCOCH=C(O)Me] as a catalyst precursor. In a nitrogen filled glove box, Pd(acac)<sub>2</sub> (4-5 mg, 0.015 mmol) and 11 (128 mg, 0.368 mmol) were dissolved in cyclopentene (10.0 g, 147.0 mmol). To this was added B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> (23 mg, 0.045 mmol) followed by followed by 1.9 M AlEt<sub>3</sub> in toluene (24 µl, 0.045 mmol). The reaction mixture was stirred by a magnetic stirbar at ambient temperature; after five hours it could no longer be stirred due to the amount of precipitated polymer. Three days after the addition of AlEt<sub>3</sub>, the reaction mixture was quenched and the polymer completely precipitated by addition of iso-propanol and methanol under air. The precipitated polymer was washed with methanol/HCl and then acetone, and then dried to afford 4.84 g of polycyclopentene.

Example 33

This example demonstrates polymerization of 1-hexene. In a nitrogen filled glovebox, 6 (28 mg, 0.048 mmol) was suspended in 1-hexene (2.00 g, 23.8 mmol). To this was added B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> (73 mg, 0.14 mmol) followed by followed by 1.9 M AlEt<sub>3</sub> in toluene (75 µl, 0.14 mmol). The reaction mixture became noticeably warm and viscous upon addition of AlEt<sub>3</sub>. The reaction mixture was stirred overnight and then quenched by addition of iso-propanol under air. The polymer was completely precipitated by addition of methanol/HCl, and dried to afford 0.69 g of poly(1-hexene) as an extremely viscous oil. Olefin end-groups could not be detected in the

room temperature  $^1\text{H}$  NMR spectrum of the polymer recorded in  $\text{CDCl}_3$ .

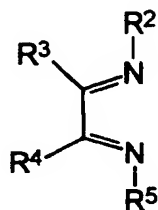
Comparative Example N

This example was identical to Example 33, except  
5 that  $\text{B}(\text{C}_6\text{F}_5)_3$  was not present. Methanol was added to  
the reaction mixture after it had stirred at ambient  
temperature overnight; no poly(1-hexene) precipitated.  
This demonstrates that in this reaction (II) must be  
present for activity when  $\text{AlEt}_3$  is used as the  
10 alkylating agent.

CLAIMS

What is claimed is:

1. A process for the polymerization of olefins, comprising, contacting, at a temperature of about  
 5 -100°C to about +200°C, a Ni(II) or Pd(II) complex of (I),



(I)

- a metal containing hydrocarbylation compound, and a  
 10 compound (II) selected from the group consisting of  $B(C_6F_5)_3$ ,  $AlCl_3$ ,  $AlBr_3$ ,  $Al(OTf)_3$ , and  $(R^{13}R^{14}R^{15}C)Y$ , with an olefin, wherein:

- said olefin is selected from the group consisting of ethylene, an olefin of the formula  
 15  $R^{17}CH=CH_2$  or  $R^{17}CH=CHR^{17}$ , cyclobutene, cyclopentene, and a norbornene;

- $R^2$  and  $R^5$  are each independently aryl or substituted aryl, provided in both  $R^2$  and  $R^5$  at least one of the carbon atoms bound to a carbon atom bound  
 20 directly to an imino nitrogen atom does not have any hydrogen atoms bound to it;

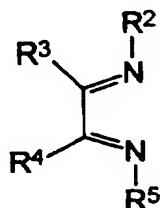
- $R^3$  and  $R^4$  are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or  $R^3$  and  $R^4$  taken together are hydrocarbylene or substituted  
 25 hydrocarbylene to form a carbocyclic ring;

$R^{13}$ ,  $R^{14}$  and  $R^{15}$  are each independently aryl or substituted aryl;

- each  $R^{17}$  is independently hydrocarbyl or substituted hydrocarbyl provided that any olefinic bond  
 30 in said olefin is separated from any other olefinic bond or aromatic ring by a quaternary carbon atom or at least two saturated carbon atoms; and

Y is a relatively noncoordinating anion.

2. A process for the polymerization of olefins, comprising, contacting a Ni(II) or Pd(II) salt with (I),



(I)

a metal containing hydrocarbylation compound, and optionally a compound (II) selected from the group consisting of  $\text{B}(\text{C}_6\text{F}_5)_3$ ,  $\text{AlCl}_3$ ,  $\text{AlBr}_3$ ,  $\text{Al}(\text{OTf})_3$ , and  $(\text{R}^{13}\text{R}^{14}\text{R}^{15}\text{C})\text{Y}$ , with an olefin, wherein:

said Ni(II) or Pd(II) salt is selected from the group consisting of  $\text{Ni}(\text{O}_2\text{CR}^7)_2$ ,  $\text{Ni}[\text{R}^8\text{COCH}=\text{C}(\text{O})\text{R}^8]_2$ ,  $\text{NiX}_2$ ,  $\text{L}^1\text{L}^2\text{NiX}_2$ ,  $\text{Ni}(\text{OR}^{18})_2$ ,  $\text{Pd}(\text{O}_2\text{CR}^9)_2$ ,  $\text{Pd}[\text{R}^{10}\text{COCH}=\text{C}(\text{O})\text{R}^{10}]_2$ ,  $\text{PdX}_2$ ,  $\text{L}^1\text{L}^2\text{PdX}_2$ , and  $\text{Pd}(\text{OR}^{19})_2$ ;

said olefin is selected from the group consisting of ethylene, an olefin of the formula  $\text{R}^{17}\text{CH}=\text{CH}_2$  or  $\text{R}^{17}\text{CH}=\text{CHR}^{17}$ , cyclobutene, cyclopentene, and a norbornene;

$\text{R}^2$  and  $\text{R}^5$  are each independently aryl or substituted aryl, provided in both  $\text{R}^2$  and  $\text{R}^5$  at least one of the carbon atoms bound to a carbon atom bound directly to an imino nitrogen atom does not have any hydrogen atoms bound to it;

$\text{R}^3$  and  $\text{R}^4$  are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or  $\text{R}^3$  and  $\text{R}^4$  taken together are hydrocarbylene or substituted hydrocarbylene to form a carbocyclic ring;

$\text{R}^{13}$ ,  $\text{R}^{14}$  and  $\text{R}^{15}$  are each independently aryl or substituted aryl;

each  $\text{R}^{17}$  is independently hydrocarbyl or substituted hydrocarbyl provided that any olefinic bond in said olefin is separated from any other olefinic bond or aromatic ring by a quaternary carbon atom or at least two saturated carbon atoms;



each  $R^{18}$  and  $R^{19}$  is independently hydrocarbyl, substituted hydrocarbyl, or  $R^{21}SO_3^-$ ;

each  $R^7$ ,  $R^8$ ,  $R^9$  and  $R^{10}$  is independently hydrocarbyl or substituted hydrocarbyl containing from  
5 1 to 20 carbon atoms;

each X is independently halogen or  $R^{21}SO_3^-$ ;

each  $R^{21}$  is independently aryl, substituted aryl or perfluoroalkyl;

$L^1$  and  $L^2$  are independently ligands capable of  
10 being displaced by (I), or taken together are a bidentate ligand that is capable of being displaced by (I); and

Y is a relatively noncoordinating anion;

and provided that when said hydrocarbylation  
15 compound is other than an alkylaluminum compound containing one or more halogen atoms bound to an aluminum atom or  $(R^{20}AlO)_q$  wherein  $R^{20}$  is alkyl and q is a positive integer, (II) must be present.

3. The process as recited in claim 1 or 2 wherein  
20 said olefin is ethylene.

4. The process as recited in claim 1 or 2 wherein said olefin is norbornene.

5. The process as recited in claim 1 wherein said olefin is cyclopentene.

25 6. The process as recited in claim 1 or 2 wherein said olefin is a linear  $\alpha$ -olefin.

7. The process as recited in claim 1 or 2 wherein a random or block copolymer is produced.

8. The process as recited in claim 1 or 2 wherein  
30 said hydrocarbylation compound is  $MX_mR^n$ , wherein:

M is Li, Mg, Zn[II], Al or Sn[IV];

each X is independently F, Cl, Br, I, or  $OR^{12}$ ;

each  $R^6$  is independently hydrocarbyl containing  
1 to 20 carbon atoms;

35 m is 0 or a positive integer;

n is an integer of 1 or more;

m+n is the valence of M; and

$R^{12}$  is hydrocarbyl containing 1 to 20 carbon atoms.

9. The process as recited in claim 1 or 2 wherein Y is BAF,  $BF_4$ ,  $B(C_6F_5)_4$ ,  $SbF_6$  or  $PF_6$ .

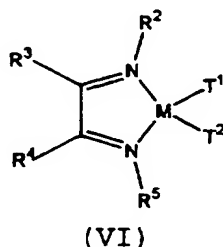
5 10. The process as recited in claim 1 or 2 wherein  $R^2$ ,  $R^3$ ,  $R^4$  and  $R^5$  are:

| $R^2$          | $R^3$ | $R^5$ | $R^5$          |
|----------------|-------|-------|----------------|
| 2,6-i-PrPh     | H     | H     | 2,6-i-PrPh     |
| 2,6-i-PrPh     | Me    | Me    | 2,6-i-PrPh     |
| 2,6-i-PrPh     | An    | An    | 2,6-i-PrPh     |
| 2,6-MePh       | H     | H     | 2,6-MePh       |
| 2,6-i-PrPh     | Me    | Me    | 2,6-i-PrPh     |
| 2,6-i-PrPh     | H     | H     | 2,6-i-PrPh     |
| 2,6-MePh       | H     | H     | 2,6-MePh       |
| 2,6-i-PrPh     | H     | H     | 2,6-i-PrPh     |
| 2,6-i-PrPh     | Me    | Me    | 2,6-i-PrPh     |
| 2,6-MePh       | H     | H     | 2,6-MePh       |
| 2,6-EtPh       | Me    | Me    | 2,6-EtPh       |
| 2,4,6-MePh     | Me    | Me    | 2,4,6-MePh     |
| 2,6-MePh       | Me    | Me    | 2,6-MePh       |
| 2,6-i-PrPh     | An    | An    | 2,6-i-PrPh     |
| 2,6-MePh       | An    | An    | 2,6-MePh       |
| 2-t-BuPh       | An    | An    | 2-t-BuPh       |
| 2,5-t-BuPh     | An    | An    | 2,5-t-BuPh     |
| 2,4,6-MePh     | An    | An    | 2,4,6-MePh     |
| 2-Cl-6-MePh    | Me    | Me    | 2-Cl-6-MePh    |
| 2,6-Cl-4-OMePh | Me    | Me    | 2,6-Cl-4-OMePh |
| 2,6-Cl-4-OMePh | An    | An    | 2,6-Cl-4-OMePh |
| 2-i-Pr-6-MePh  | An    | An    | 2-i-Pr-6-MePh  |
| 2-i-Pr-6-MePh  | Me    | Me    | 2-i-Pr-6-MePh  |
| 2,6-t-BuPh     | H     | H     | 2,6-t-BuPh     |
| 2,6-t-BuPh     | Me    | Me    | 2,6-t-BuPh     |
| 2,6-t-BuPh     | An    | An    | 2,6-t-BuPh     |
| 2-t-BuPh       | Me    | Me    | 2-t-BuPh.      |

11. The process as recited in claim 1 or 2 wherein said temperature is about 25°C to about 100°C.

12. The process as recited in claim 1 or 2 wherein (II) is  $(C_6F_5)_3B$ .

5 13. The process as recited in claim 1 wherein said complex is



wherein:

10 M is Ni or Pd;

T¹ and T² are each independently halogen,  $R^7CO_2$ ,  $R^8COCH=C(O)R^8$ , or  $OR^{18}$ ;

15 R² and R⁵ are each independently aryl or substituted aryl, provided in both R² and R⁵ at least one of the carbon atoms bound to a carbon atom bound directly to an imino nitrogen atom does not have any hydrogen atoms bound to it;

20 R³ and R⁴ are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or R³ and R⁴ taken together are hydrocarbylene or substituted hydrocarbylene to form a carbocyclic ring;

each  $R^{18}$  is independently hydrocarbyl; and

25 each R⁷ and R⁸ is independently hydrocarbyl or substituted hydrocarbyl containing from 1 to 20 carbon atoms.

14. The process as recited in claim 1 wherein a molar ratio of (II):Pd or Ni is about 0.5 to about 10, and a molar ratio of hydrocarbylation compound: Pd or Ni is about 0.5 to about 20.

30 15. The process as recited in claim 2 wherein:

when (II) is present a molar ratio of (II):Pd or Ni is about 0.5 to about 10, and a molar ratio of hydrocarbylation compound: Pd or Ni is preferably about 0.5 to about 20; and

when (II) is not present said molar ratio of hydrocarbylation compound: Pd or Ni is about 0.5 to about 200.

16. The process as recited in claim 13 wherein T<sup>1</sup> and T<sup>2</sup> are chlorine or bromine.

17. The process as recited in claim 1, 2 or 13 wherein:

said hydrocarbylation compound is MX<sub>m</sub>R<sub>n</sub><sup>6</sup>,

wherein:

10 M is Li, Mg, Zn[II], Al or Sn[IV];  
each X is independently F, Cl, Br, I, or OR<sup>12</sup>;  
each R<sup>6</sup> is independently hydrocarbyl containing  
1 to 20 carbon atoms;  
m is 0 or a positive integer;  
15 n is an integer of 1 or more;  
m+n is the valence of M; and  
R<sup>12</sup> is hydrocarbyl containing 1 to 20 carbon  
atoms; and

said temperature is about 25°C to about  
20 100°C; and

(II) is (C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>B.

18. The process as recited in claim 1, 2 or 13 wherein:

said hydrocarbylation compound is MX<sub>m</sub>R<sub>n</sub><sup>6</sup>;

25 M is Li, Mg, Zn[II], Al or Sn[IV];  
each X is independently F, Cl, Br, I, or OR<sup>12</sup>;  
each R<sup>6</sup> is independently hydrocarbyl containing  
1 to 20 carbon atoms;  
m is 0 or a positive integer;  
30 n is an integer of 1 or more;  
m+n is the valence of M; and  
R<sup>12</sup> is hydrocarbyl containing 1 to 20 carbon  
atoms;

said temperature is about 25°C to about 100°C;  
35 and

(II) is (C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>B; and

said olefin is one or more of ethylene,  
norbornene, cyclopentene, or a linear α-olefin.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/12801

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C08F4/70 C07F15/04 C07C251/20

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C08F C07F C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.                        |
|----------|--|--|
| X        | <p>SVOBODA M ET AL:<br/> "DIAZADIEN-NICKEL-ALKYLE"<br/> JOURNAL OF ORGANOMETALLIC CHEMISTRY,<br/> vol. 191, 1 January 1980,<br/> pages 321-328, XP000590806<br/> see abstract<br/> see page 322, line 3 - line 5<br/> see page 322, line 6 - line 13<br/> see page 323<br/> see page 327 compound III and Versuch zur<br/> Oligomerisierung von Butadien</p> <p style="text-align: center;">---<br/>-/--</p> | <p>1, 2, 8,<br/> 10, 13,<br/> 14, 16, 17</p> |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

24 November 1997

Date of mailing of the international search report

09/12/1997

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Authorized officer

Fischer, B

# INTERNATIONAL SEARCH REPORT

Intern. Patent Application No.

PCT/US 97/12801

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication where appropriate, of the relevant passages   | Relevant to claim No.                    |
|----------|---|--|
| X        | JOHNSON L K ET AL: "NEW PD(II)- AND<br>NI(II)-BASED CATALYSTS FOR POLYMERIZATION<br>OF ETHYLENE AND ALPHA-OLEFINS"<br>JOURNAL OF THE AMERICAN CHEMICAL SOCIETY,<br>vol. 117, 14 June 1995,<br>page 6414/6415 XP000644782<br>cited in the application<br>see the whole document<br>see in supplementary material (29 pages),<br>page 4, compound 5e<br>page 9, polymerization of ethylene 5e/MAO<br>page 10, polymerization of 1-hexene 5e/MAO<br>---- | 2,3,6,8,<br>10,11,<br>13,<br>16-18,22    |
| P,X      | WO 96 23010 A (DU PONT) 1 August 1996<br><br>see page 148 - page 151; examples 10-14<br>see page 159; example 39<br>see page 183; example 83<br>see page 231; example 154<br>see page 263; example 210<br>see page 289; example 254<br>-----  | 1-3,5,6,<br>10,11,<br>13,14,<br>16-18,22 |
| A        | R. VAN ASSELT ET AL: "Synthesis and<br>Characterization of rigid bidentate<br>nitrogen ligands..."<br>RECL.TRAV. CHIM. PAYS-BAS,<br>vol. 113, 1994,<br>pages 88-98, XP002047441<br>see page 89 (Ar-BIAN)NiBr <sub>2</sub> (2)<br>see page 92, column 1, Scheme 1: Synthesis<br>of Ar-BIAN ligands<br>see page 93; table 1<br>-----  | 19-21                                    |

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/12801

| Patent document<br>cited in search report | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
|---|---------------------|----------------------------|---------------------|
| WO 9623010 A                              | 01-08-96            | AU 5020896 A               | 14-08-96            |
|   |                     | EP 0805826 A               | 12-11-97            |
|   |                     | NO 973310 A                | 23-09-97            |
| <hr/>                                     |                     |                            |                     |

Form PCT/ISA/210 (patent family annex) (July 1992)

